

06-29-01

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PTO/SB/05 (08-00)

UTILITY
PATENT APPLICATION
TRANSMITTALAttorney Docket No. **889712-25**First Inventor **Alpha Hou**Title **Method and Apparatus for Moving Scanning Documents**Express Mail Label No. **ET558199898US**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **19**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
5. Oath or Declaration [Total Pages **1**]
a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
b. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PAPER

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney
(when there is an assignee)]
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS
Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: **A check of \$355.00 for filing fee**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner _____ Group I Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar code label here)

or ☐ Correspondence address below


Name **Joe Zheng**

Address **SVPA**
7394 Wildflower Way

City **Cupertino** State **CA** Zip Code **95014**

Country **USA** Telephone **(408)777-8873** Fax **(408)873-9249**

Name (Print/Type) **Joe Zheng** Registration No. (Attorney/Agent) **39,450**

Signature  Date **6/27/01**

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**355.00**

Complete if Known

| | |
|----------------------|-----------|
| Application Number | |
| Filing Date | Herewith |
| First Named Inventor | Alpha Hou |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 889712-24 |

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Number
- Charge
- ☐ Change Any Additional Fee Required
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Description | Fee Paid |
|--------------|--------------|-----------|-----------|-----------|-----------|------------------------|----------|
| Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | | |
| 101 | 710 | 201 | 355 | | | Utility filing fee | \$355 |
| 106 | 320 | 206 | 160 | | | Design filing fee | |
| 107 | 400 | 207 | 245 | | | Plant filing fee | |
| 108 | 710 | 208 | 355 | | | Reissue filing fee | |
| 114 | 150 | 214 | 75 | | | Provisional filing fee | |

SUBTOTAL (1) (\$) **355**

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| | | | |
| Independent | -20" = | X | \$0 |
| Multiple Dependent | -3" = | X | \$0 |

*For number previously paid, if greater; For Reissues, see below

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Description |
|--------------|--------------|-----------|-----------|-----------|-----------|--|
| Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | |
| 103 | 18 | 203 | 9 | | | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | | | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | | | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | | | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) **0**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Description | Fee Paid |
|--------------|--------------|-----------|-----------|-----------|-----------|--|----------|
| Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | | |
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | | | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | | | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | | | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | | | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | | | Notice of Appeal | |
| 120 | 310 | 220 | 155 | | | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | | | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | | | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | | | Design issue fee | |
| 144 | 600 | 244 | 300 | | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | | | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | |

Other fee (specify) _____

SUBTOTAL (3) (\$) **0**

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

| | | | | | |
|-------------------|-----------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Joe Zheng | Registration No. (Attorney/Agent) | 39,450 | Telephone | 408-777-8873 |
| Signature | | Date | 6/27/01 | | |

Complete if applicable

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